Sector/#



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

PATENT Attorney Docket No. 18062G002010

Examiner: Not Yet Assigned

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

Art Unit: 1611

PARTS

on 8-24-00

TOWNSEND and TOWNSEND, and CREW LLP

By Sinda Shaffer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan A. Ellman, et al.

Application No.: 09/534,706

Filed: March 23, 2000

For:

METHODS FOR TREATING NEURODEGENERATIVE

DISORDERS USING ASPARTYL

PROTEASE INHIBITORS

Attn: Box Missing Parts

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Nonprovisional Application -

Filing Date Granted dated May 24, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Petition to Extend Time
- 2) Executed Declaration (5 copies)
- 3) Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 CFR § 3.71
- 4) Certificate Under 37 C.F.R. § 3.73(b) w/copy of Assignment
- 5) Verified Statement Claiming Small Entity Status of The Regents of California
- 6) Verified Statement Claiming Small Entity Status of Thuris Corporation

Jonathan A. Ellman, et a. Application No.: 09/534,706

Page 2

- 7) Preliminary Amendment
- 8) Request for Corrected Filing, Receipt
- 9) Copy of Notice of Missing Parts

Please charge Deposit Account No. 20-1430 for the following fees:

Small entity: (a) Filing Fee (§ 1.16(a)) (Small Entity) \$345

(b) Excess Claims Fees (§ 1.16(b), (c)):

 $50 - 20 = 30 \times 9 =$ \$270

 $3 - 3 = 0 \times 39 =$ \$0

(c) Missing Parts Surcharge \$65

TOTAL FEES TO BE CHARGED \$680

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 (415) 576-0200 Fax (415) 576-0300 EGW/lls

SF 1122218 v1





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/534,706

03/24/2000

Jonathan A. Ellman

18062G002010

20350 TOWNSEND AND TOWNSEND AND CREW LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111

Date Mailed: 05/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$540.
 - \$540 for 30 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1360.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2000 SSESHE1 00000077 201430 09534706

01 FC:201 345.00 CH 02 FC:203 270.00 CH 03 FC:205 65.00 CH I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents Washington, D.C. 20231

PATENT Attorney Docket No.: 18062G002010

Not yet assigned

1611

REQUEST FOR CORRECTED FILING



on 8-24-00
By: Sonda Shoffer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Art Unit:

RECEIPT

In re application of:

Jonathan A. Ellman, et al.

Application No.: 09/534,706

Filed: March 23, 2000

For: METHODS FOR TREATING NEURODEGENERATIVE DISORDERS USING ASPARTYL PROTEASE INHIBITORS

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that some of the continuing data is missing and should read as

follows:

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 60/125,958 03/24/99 WHICH CLAIMS BENEFIT OF 09/018,226 02/03/98 AND CLAIMS BENEFIT OF 60/036,903 02/04/97

Attorney Docket No.: 15-52G002010 Application No.: 09/534,706

Page 2

The correction is not due to any error by applicants, and no fee is due.

Respectfully submitted

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (415) 576-0200 Fax: (415) 576-0300

EGW/lls

SF 1121321 v1

FILING RECEIPT *OC000000005136491*

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/534,706	03/24/2000	1611	0	18062G002010	22	50	3

20350 TOWNSEND AND TOWNSEND AND CREW LLP TWO EMBARCADERO CENTER EIGHTH FLOOR SAN FRANCISCO, CA 94111

Date Mailed: 05/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jonathan A. Ellman, Oakland, CA; Gary Lynch, Irvine, CA; Irwin D. Kuntz, Greenbrae, CA: Xiaoning Bi, Irvine, CA; Christina E. Lee, San Francisco, CA; A. Geoffrey Skillman, San Francisco, CA; Tasir Haque, El Cerrito, CA:

BECEINED SI :8 NA OE YAH OO

Continuing Data as Claimed by Applicant

60/125,958 03/24/99 WHICH CLAIMS BENEFIT OF 60/036,903 02/04/1997 09/0/8,226 02/03/98
AND CLAIMS BENEFIT OF 60/036,903 02/04/1997 09/0/8,226 AND CLAIMS BENEFIT OF 60/036,903 02/04/97

Foreign Applications

If Required, Foreign Filing License Granted 05/23/2000

Title

Methods for treating neurodegenerative disorders using aspartyl protease inhibitors

Preliminary Class

544